IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

3762

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Applicant:

Mark Schwartz

Title:

RATE SMOOTHING CONTROL

Docket No.:

279.353US1

Filed:

December 12, 2001

Examiner:

George Robert Evanisko

Customer No.: 21186

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Serial No.: 10/017,800

Due Date: N/A

Group Art Unit: 3762 Confirmation No.: 9663

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

We are transmitting herewith the following attached items (as indicated with an "X"):

 \underline{X} A return postcard.

X An Supplemental Information Disclosure Statement (2 pgs.), Form 1449 (3 pgs.), and copies of 40 cited documents.

If not provided for in a separate paper filed herewith, Please consider this a PETITION FOR EXTENSION OF TIME for sufficient number of months to enter these papers and please charge any additional fees or credit overpayment to Deposit Account No. 19-0743.

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.

Customer Number 21186

Atty: Suneel Arora Reg. No. 42,267

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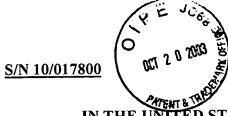
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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

In compliance with the duty imposed by 37 C.F.R. § 1.56, and in accordance with 37 C.F.R. §§ 1.97 et. seq., the enclosed materials are brought to the attention of the Examiner for consideration in connection with the above-identified patent application. Applicant respectfully requests that this Supplemental Information Disclosure Statement be entered and the documents listed on the attached Form 1449 be considered by the Examiner and made of record. Pursuant to the provisions of MPEP 609, Applicant requests that a copy of the 1449 form, initialed as being considered by the Examiner, be returned to the Applicant with the next official communication.

Pursuant to 37 C.F.R. §1.97(b), it is believed that no fee or statement is required with the Supplemental Information Disclosure Statement. However, if an Office Action on the merits has been mailed, the Commissioner is hereby authorized to charge the required fees to Deposit Account No. 19-0743 in order to have this Supplemental Information Disclosure Statement considered.

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Title: RATE SMOOTHING CONTROL

Page 2 Dkt: 279.353US1

The Examiner is invited to contact the Applicant's Representative at the below-listed telephone number if there are any questions regarding this communication.

Respectfully submitted,

MARK SCHWARTZ

By his Representatives,

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A. P.O. Box 2938 Minneapolis, MN 55402

612-373-6951

Date Oct. 15, 2003

Suneel Arora Reg. No. 42,267

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Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number. Substitute for form 1449A/PTO Complete if Known INFORMATION DISCLOSURE 10/017800 STATEMENT BY APPLICANT **Application Number** Filing Date December 12, 2001 (Use as many sheets as necessar) Schwartz, Mark First Named Inventor 3762 **Group Art Unit Examiner Name** Evanisko, George Attorney Docket No: 279.353US1 Sheet 1 of 3

		US P	ATENT DOCUMENT	S		····
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT	Application Number	10/017800		
(Use as many sheets as necessary)	Filing Date	December 12, 2001		
\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\	First Named Inventor	Schwartz, Mark		
O 007 2 0 2003 E	Group Art Unit	3762		
OCT 20 SE	Examiner Name	Evanisko, George		
Sheet 2 of 3	Attorney Docket No: 279.353US1			

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0ET 2 0 2003 E	Examiner Name	Evanisko, George
Sheet 3 of 3	Attorney Docket No: 2	79.353US1

	OTHE	R DOCUMENTS NON PATENT LITERATURE DOCUMENTS	
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